What comes next?

We appreciate your help in completing the paperwork to transition your account to our new broker-dealer, Midwestern Securities. This move will enable us to continue servicing your account and providing you with superior service and sound financial advice. Here is some of what you can expect to happen next as we continue the transition process:

- **The paperwork you completed today is only the first step of the process.** The full transition of your accounts can take anywhere from three days to a month to complete. Our team will stay in contact with you to discuss anything that may need your attention after today, and of course, we are always available by phone or email to answer your questions!
- **You may receive a lot of mail regarding your account over the next few weeks,** even if you signed up for online statement delivery. Your online profile will take some time to be established, so many of the earliest items you receive will come via postal service.
- If you signed up for online statement delivery, you will receive an email from our new broker-dealer, Midwestern Securities, requesting your consent to electronic delivery. **You must click the link in this email in order to complete your enrollment and begin receiving your e-statements.** An example version of this email was included with the online sign-up guide you received today.
- **You may continue to receive statements from Raymond James for several more months.** This is normal, and is a result of residual dividends that must finish transferring to your new account. When all dividends have transferred out, you will receive one final statement showing a balance of $0.
- Your next statement from Raymond James will show an outgoing transfer fee debited from your account balance. **Legacy Financial Services is covering the cost of this fee,** so you will also see a credit to your new account in the same amount, showing where the fee was reimbursed.
- Depending on the type of accounts you have with Legacy Financial Services, **some of the emails you receive about your account may come from our broker-dealer, Midwestern Securities.**
- If you elected to receive online statements, you will have received a step-by-step guide to setting up online access to your accounts, but **you can also call us directly to get a temporary login and walk through the setup process.**

LEGACY
FINANCIAL SERVICES

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